## SIL – SAFETY INTEGRITY LEVEL

# CLARIFYING MYTHS AND TRUTHS ABOUT SIL CERTIFICATION

Technical Center of Excellence Webinar

23 Feb 2022

### Webinar agenda

#### Subjects we will discuss

#### Why is SIL certification important?

- What is functional safety
- Functional safety standards

#### What does it mean? What does it imply?

- Certification process
- What means "SIL Certified"

#### How to interpret SIL certificates and major safety indicators

- Functional Safety jargon
- How to read a SIL certificate

#### Myths and Truths

- Some common myths and truths
- Q&A

### **MEGGITT**

## WHY IS SIL CERTIFICATION IMPORTANT?

WHAT IS FUNCTIONAL SAFETY

### What is functional safety?



#### What is functional safety?

To what risk am I exposed?



#### What is functional safety?

To what risk am I exposed?

Height?



#### What is functional safety?

#### To what risk am I exposed?

Height?

Landing zone?

5 seconds? 15 minutes? Every day?







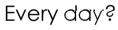
#### What is functional safety?

#### To what risk am I exposed?

Height?

Landing zone?

5 seconds? 15 minutes?









### What is functional safety?



#### What is functional safety?

#### Risk reduction effectiveness?

Was the gear well designed? Well manufactured?



#### What is functional safety?

#### **Risk reduction effectiveness?**

Was the gear well designed? Well manufactured?

Is there a defect? Is it scratching on a rock?



#### What is functional safety?

#### **Risk reduction effectiveness?**

Was the gear well designed? Well manufactured?

Is there a defect?
Is it scratching on a rock?

Am I using it properly? Is it appropriated for my case?



What is functional safety?

### **Risk reduction** measures effectiveness

#### **Risk reduction effectiveness?**

Was the gear well designed? Well manufactured?

Is there a defect? Is it scratching on a rock?

Am I using it properly? Is it appropriated for my case?



What is functional safety?

Am I using it properly? Is it appropriated for my case?

#### Risk reduction effectiveness?

Was the gear well designed? Well manufactured?

To what risk am I exposed?

Height?

5 seconds? 15 minutes? Every day?

Landing zone?





Am I using it properly? Is it appropriated for my case?

**Risk reduction** measures effectiveness



### **MEGGITT**

## RISK REDUCTION FACTOR

### **Functional Safety**

#### To what risk am I exposed?

Why SIL certification is important?

Risks and hazards







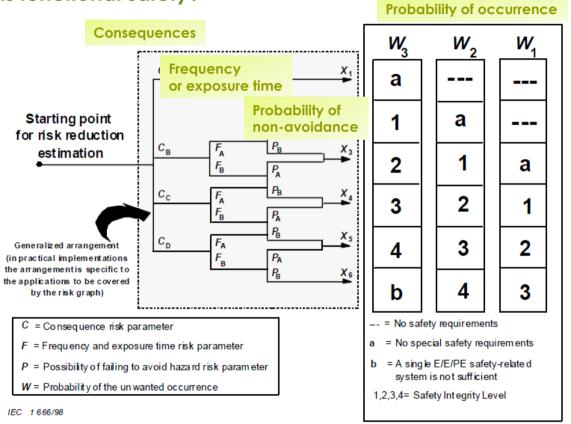






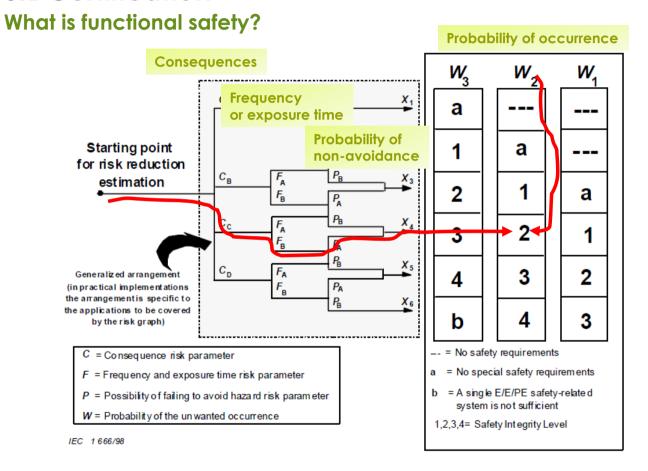
#### To what risk am I exposed?





#### **Evaluation of risk**

### To what risk am I exposed?

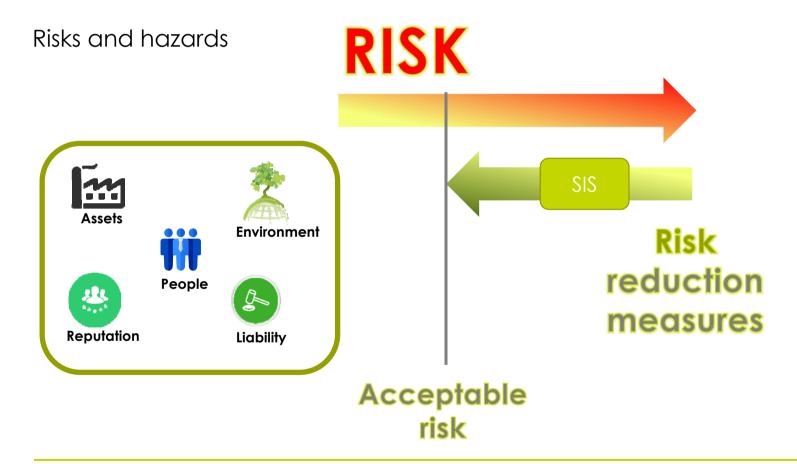


#### **Evaluation of risk**

### **Functional Safety**

#### To what risk am I exposed?

Why SIL certification is important?



### **Functional Safety**

#### Why SIL certification is important?

#### RISK Risks and hazards Community Emergency Response Plant Emergency Response Loss of Risk Passive Protection Containment Active Protection reduction Safety Instrumented System Trip measures Operator Intervention Alarm Process Control Loop **Acceptable** Process Value Process Design risk

#### To what risk am I exposed?











**Liability** 

#### To what risk am I exposed?

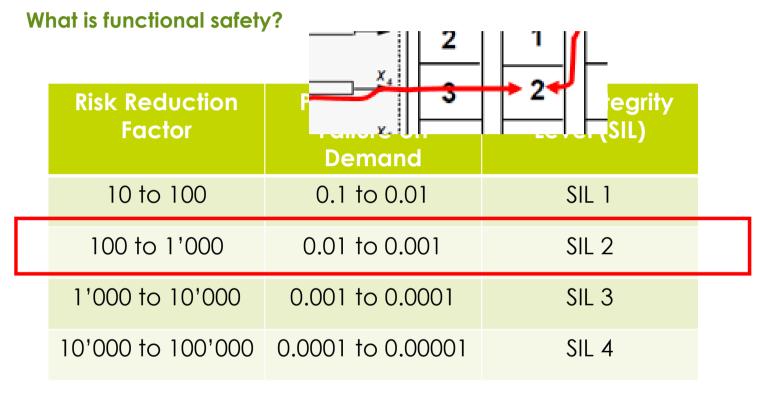
### **SIL Certification** What is functional safety?

Risk Reduction Factor	Probability of Failure on Demand	Safety Integrity Level (SIL)
10 to 100	0.1 to 0.01	SIL 1
100 to 1'000	0.01 to 0.001	SIL 2
1'000 to 10'000	0.001 to 0.0001	SIL 3
10'000 to 100'000	0.0001 to 0.00001	SIL 4

#### Risk reduction vs Safety Integrity Level

#### To what risk am I exposed?

#### **SIL Certification**



Risk reduction vs Safety Integrity Level

### **MEGGITT**

## RISK REDUCTION EFFECTIVENESS

#### What is functional safety?

#### **Risk reduction effectiveness?**

Was the rope well designed? Well manufactured?

Is there a defect in the rope? Is it scratching on a rock?



#### What is functional safety?

#### **Risk reduction effectiveness?**

Was the rope well designed? Well manufactured?



Systematic

Is there a defect in the rope? Is it scratching on a rock?

#### What is functional safety?

#### **Risk reduction effectiveness?**

Was the rope well designed? Well manufactured?



Systematic

Is there a defect in the rope? Is it scratching on a rock?



Random

What is functional safety?

#### **Risk reduction effectiveness?**

Was the rope well designed? Well manufactured?



Systematic Capability

Systematic

Probability of Failure

Is there a defect in the rope? Is it scratching on a rock?



Random

What is functional safety?

#### **Risk reduction effectiveness?**

Was the rope well designed? Well manufactured?



Systematic Capability

Systematic

Probability of Failure

Is there a defect in the rope? Is it scratching on a rock?

Random

Am I using it properly? Is it appropriated for my case?

### **SIL Certification Architectural** constraints What is functional safety? Systematic Capability **Risk reduction effectiveness?** Systematic Was the rope well designed? Well manufactured? Random Is there a defect in the rope? Is it scratching on a rock? Probability of Failure Am I using it properly? Is it appropriated for my case? Safety Manual

### **MEGGÍTT**

## **FUNCTIONAL SAFETY**

What is functional safety?

## RISK

To what risk am I exposed?

Height?

5 seconds? 15 minutes? Every day?

Landing zone?

### Risk reduction measures



#### Probability of failures?

Was the gear well designed? Well manufactured?

Is there a defect? Is it scratching on a rock?

The main goal of functional safety is to ensure that: "...The safety function will be performed correctly or the system will fail in a predictable and safe manner."

### **MEGGITT**

## WHY IS SIL CERTIFICATION IMPORTANT?

FUNCTIONAL SAFETY STANDARDS

#### **Functional Safety Standards**

Requirements for suppliers of process control and instrumentation safety instruments

Ensures that systems are designed, implemented, operated and maintained to provide the required safety integrity level (SIL)

Applicable for

- electrical
- electronic
- programmable electronic safety related systems

#### **Functional Safety Standards**



Requirements for suppliers of process control and instrumentation safety instruments

Ensures that systems are designed, implemented, operated and maintained to provide the required safety integrity level (SIL)

#### Applicable for

- electrical
- · electronic
- programmable electronic safety related systems



#### **Functional Safety Standards**

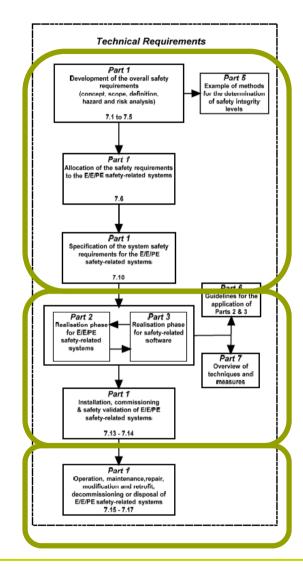


## SIL Certification IEC61508

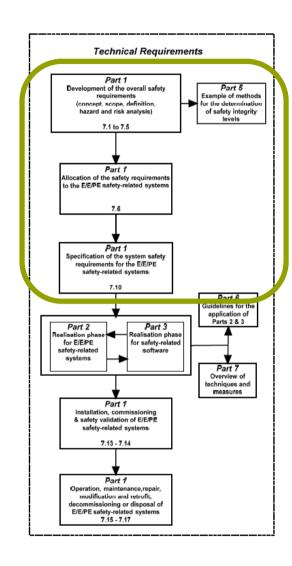
Conceptualization and specification phases

Realization and validation phases

Operation, maintenance and decommissioning phases

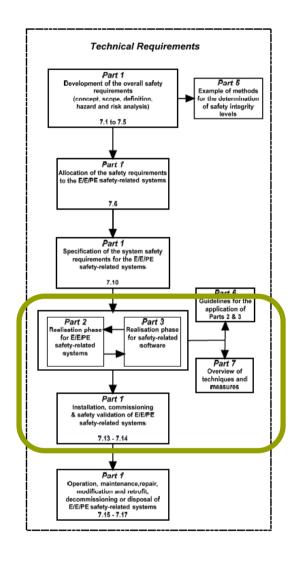


Conceptualization and specification phases

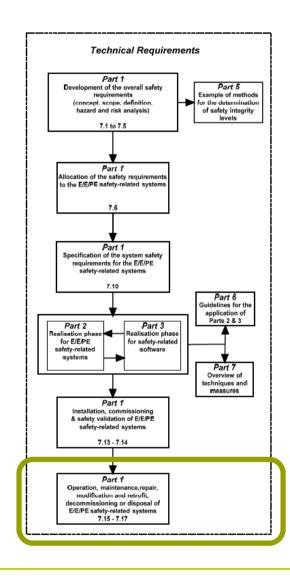


# SIL Certification IEC61508

Realization and validation phases

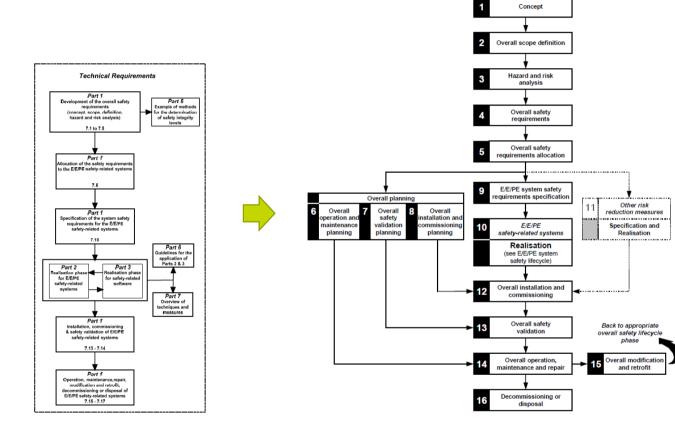


# SIL Certification IEC61508



Operation, maintenance and decommissioning phases

#### IEC61508

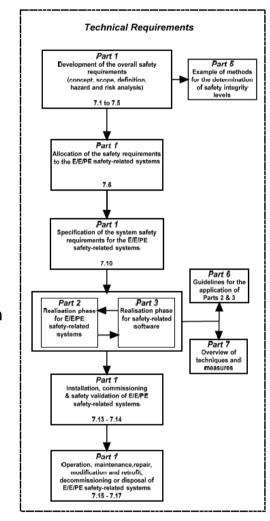


# SIL Certification IEC61508

Conceptualization and specification phases

Realization and validation phases

Operation, maintenance and decommissioning phases



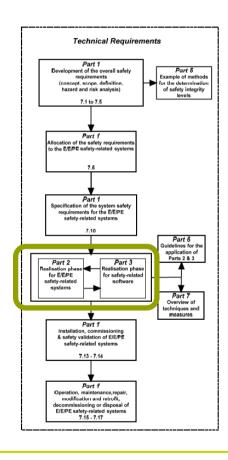


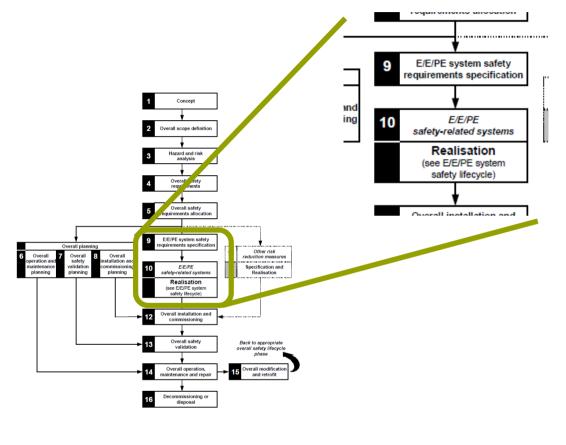
# **MEGGÍTT**

# WHAT DOES IT MEAN, WHAT IT IMPLIES?

# **CERTIFICATION PROCESS**

#### IEC61508





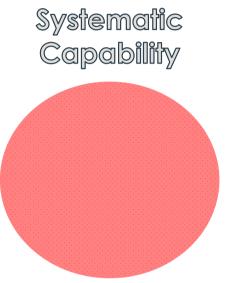
#### IEC61508

#### What is SIL...?

Risk Reduction Factor	Probability of Failure on Demand	Safety Integrity Level (SIL)
10 to 100	0.1 to 0.01	SIL 1
100 to 1'000	0.01 to 0.001	SIL 2
1'000 to 10'000	0.001 to 0.0001	SIL 3
10'000 to 100'000	0.0001 to 0.00001	SIL 4

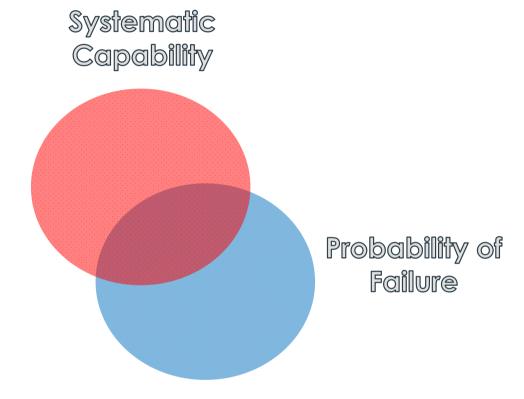
IEC61508

What is SIL...?



IEC61508

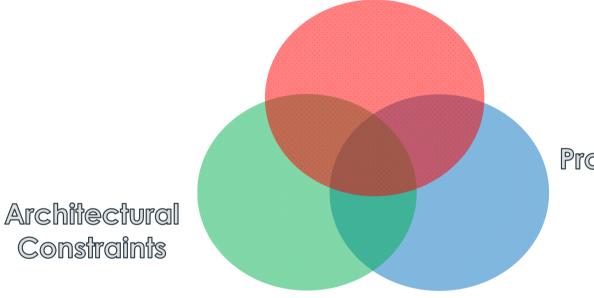
What is SIL...?



IEC61508

What is SIL...?

Systematic Capability



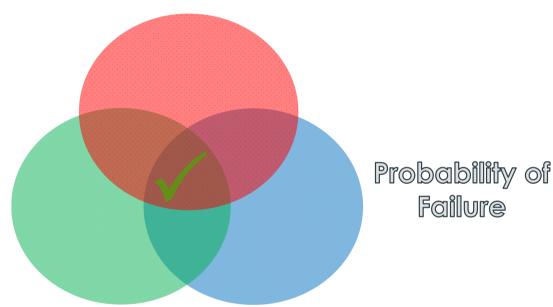
Probability of Failure

IEC61508

What is SIL...?

Architectural Constraints

Systematic Capability



IEC61508

# SIL certification process for E/E/PE Safety-related systems

By design By Proven in Use

Full compliance with design process requirements

> Random failure analysis

Safety Manual

Product Systematic Failure

Random Failure Integrity

Safety Manual

Field reliability data

Random failure analysis

Safety Manual

#### IEC61508

# SIL certification process for E/E/PE Safety related systems

Random Failure

Product Systematic Failure

Safety Manual

#### **Certification artifacts:**

- **FMEDA**
- FIT
- Sw HAZOP
- **Functional Safety Mng Plan**
- Safety Requirements **Specification**
- Verification test plan
- Validation test plan
- Tool classification
- Sw coding guidelines
- Safety Manual
- ... and many others...

#### IEC61508

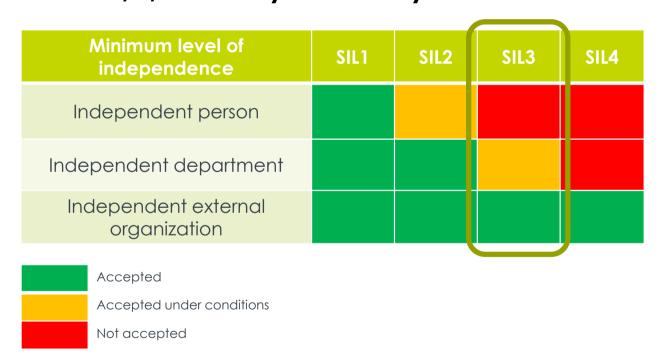
# SIL certification process for E/E/PE Safety related systems

Minimum level of independence	SIL1	SIL2	SIL3	SIL4
Independent person				
Independent department				
Independent external organization				



#### IEC61508

# SIL certification process for E/E/PE Safety related systems



IEC61508

SIL certification process for E/E/PE Safety related systems



# **MEGGÍTT**

# SIL CERTIFICATION PROCESS

WHAT DOES IT MEAN TO BE CERTIFIED

#### **Functional Safety Standards**

# Credibility of safety properties

- Systematic fault avoidance
- Random fault avoidance
- Application context



"...The safety function will be performed correctly or the system will fail in a predictable and safe manner."

# MEGGITT

# HOW TO INTERPRET A SIL CERTIFICATE

**FUNCTIONAL SAFETY TERMS & ABBREVIATIONS** 

**Functional Safety Terms and Abbreviations** 

# IEC61508

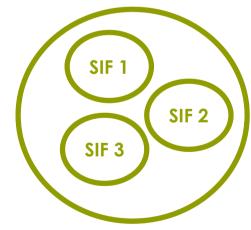
# Terminology and basic definitions



### **Functional Safety Terms and Abbreviations**

**SIS:** Safety Instrumented System is a combination of sensors, controllers and actuators to execute one or more SIF.

**SIF:** Safety Instrumentated Function is a set of equipment used to reduce the risk to a specific hazard.



#### **Functional Safety Terms and Abbreviations**

**SIS:** Safety Instrumented System is a combination of sensors, controllers and actuators to execute one or more SIF.

SIF: Safety Instrumentated Function is a set of equipment used to reduce the risk to a specific hazard.

Safety function: function to be implemented by an E/E/PE safety-related system or other risk reduction measures, that is intended to achieve or maintain a safe state.

**Safe-state:** a state where the hazard is removed.

#### **Functional Safety Terms and Abbreviations**

**SIS:** Safety Instrumented System is a combination of sensors, controllers and actuators to execute one or more SIF.

SIF: Safety Instrumentated Function is a set of equipment used to reduce the risk to a specific hazard.

**Safety function:** function to be implemented by an E/E/PE safety-related system or other risk reduction measures, that is intended to achieve or maintain a safe state.

**Safe-state:** a state where the hazard is removed.

#### **Example:**

**Safety function**: Read measured values from transducer inputs, compare them to alarm set points and generate a trip relay output.

Safe-state: tripped relay output.

#### **Functional Safety Terms and Abbreviations**

**Safety function:** function to be implemented by an E/E/PE safety-related system or other risk reduction measures, that is intended to achieve or maintain a safe state.

**Safe-state:** a state where the hazard is removed.

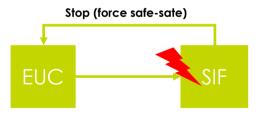
# Stop (force safe-sate) SIF

The safety function detects a problem and triggers the safe-state.

#### **Example:**

**Safety function:** Read measured values from transducer inputs, compare them to alarm set points and generate a trip relay output.

Safe-state: tripped relay output.



There is a mal-function detected in the safety system itself that, by default, will trigger the safe-state.

#### **Functional Safety Terms and Abbreviations**

**STR:** Spurious Trip Rate



The safety function detects a problem and triggers the safe-state.

# Stop (force safe-sate)



There is a mal-function detected in the safety system itself that, by default, will trigger the safe-state.

#### Example:

Safety function: Read measured values from transducer inputs, compare them to alarm set points and generate a trip relay output.

Safe-state: tripped relay output.

#### **Functional Safety Terms and Abbreviations**

**SIL:** discrete relative levels (SIL1 to SIL4) of riskreduction provided by a safety function, corresponding to a range of safety integrity values, where safety integrity level 4 has the highest level of safety integrity and 1 the lowest.

Systematic Capability: measure (SC 1 to SC 4) of the confidence that the systematic safety integrity of an element meets the requirements of the specified SIL, in respect of the specified element safety function.



#### **Functional Safety Terms and Abbreviations**

**Low demand mode:** is where the frequency of demands for operation made on a safety-related system is no greater than one per year.

**High demand mode:** is where the frequency of demands for operation made on a safety-related system is greater than one per year.

**Continuous mode:** is where the function retains the safestate as part of normal operation.



#### **Functional Safety Terms and Abbreviations**

**HFT:** Hardware fault tolerance

MooN (e.g. 1002, 2002): Mout of N channel voting architecture.

Type A: a simple element, with well know failure modes, behavior and dependable failure data.

Type B: a complex element, where failure modes are not well defined and there is no dependable failure data.

#### **Functional Safety Terms and Abbreviations**

Route 1<sub>H</sub>: architectural constraints based on hardware fault tolerance and safe failure fraction concepts.

Route 2<sub>H</sub>: architectural constraints based on component reliability data from field feedback, increased confidence levels and hardware fault tolerance for specified safety integrity levels.

Route 1st systematic integrity requirements for the avoidance (prevention) and requirements for the control of systematic faults.

**Route 2<sub>s</sub>:** systematic integrity evidence that the equipment is 'proven in use' (PIU)

**Route 3<sub>s</sub>:** systematic integrity evidence for pre-existing software elements.

#### **Functional Safety Terms and Abbreviations**

**Failure Rate (\lambda):** failures per unit of time (typically measured in FIT ( $10^{-9}$  Failures In Time).

**AS:** Safe failures

**ADD:** Dangerous Detected failures

**ADU:** Dangerous Undetected failures

**SFF:** Safe Failure Fraction (ratio between  $\lambda S + \lambda DD$  and  $\lambda DU$ .

**PFD/PFH**: Probability of failure by demand (low demand mode) OR per by hour (high demand mode).

#### **Functional Safety Terms and Abbreviations**

**Failure Rate (\lambda):** failures per unit of time (typically measured in FIT (10<sup>-9</sup> Failures In Time).

**AS:** Safe failures

**ADD:** Dangerous Detected failures

**ADU:** Dangerous Undetected failures

**SFF:** Safe Failure Fraction (ratio between λS+λDD and  $\lambda DU$ .

PFD/PFH: Probability of failure by demand (low demand mode) OR per by hour (high demand mode).

#### **Functional Safety Terms and Abbreviations**

**Failure Rate (\lambda):** failures per unit of time (typically measured in FIT (10<sup>-9</sup> Failures In Time).

**λS:** Safe failures

**ADD:** Dangerous Detected failures

**ADU:** Dangerous Undetected failures



**SFF:** Safe Failure Fraction (ratio between λS+λDD and  $\lambda DU$ .

PFD/PFH: Probability of failure by demand (low demand mode) OR per by hour (high demand mode).

#### **Functional Safety Terms and Abbreviations**

PTC: Proof test coverage is a measure of how many <u>undetected dangerous failures</u> are detected by the proof test.

**PTI:** Proof test interval is the maximum time that the safety system can be used without testing.

**PFDavg:** mean unavailability of an E/E/PE safetyrelated system to perform the specified safety function when a demand occurs.



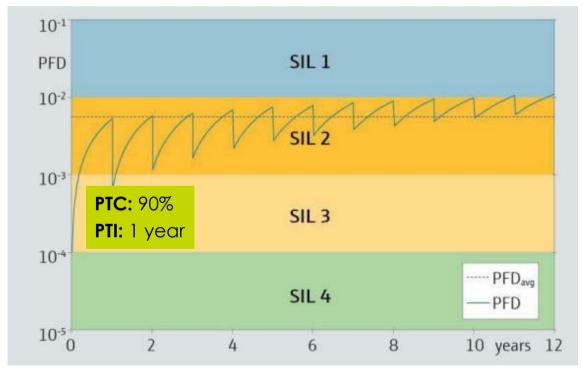
**ADU:** Dangerous Undetected failures

## **Functional Safety Terms and Abbreviations**



From: Endress+Hauser white paper

## **Functional Safety Terms and Abbreviations**



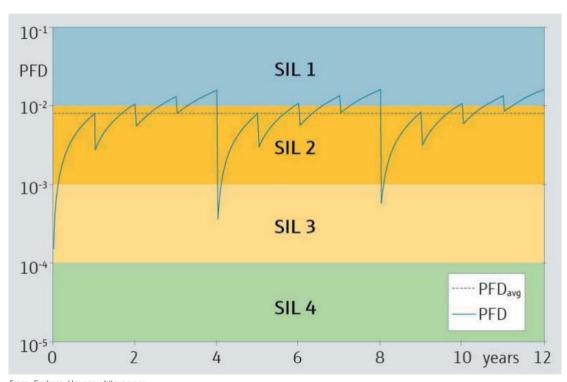
From: Endress+Hauser white paper

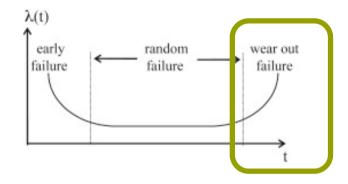
### **Functional Safety Terms and Abbreviations**



From: Endress+Hauser white paper

### **Functional Safety Terms and Abbreviations**





From: Endress+Hauser white paper

# HOW TO INTERPRET A SIL CERTIFICATE

HOW TO READ A SIL CERTIFICATE

### How to read a SIL certificate

A conformity certificate must, in general, contain:

- the name and address of the certification body
- the date certification is granted
- the name and address of the client
- the scope of certification (the product(s), process(es) or service(s) for which the certification is granted, the applicable certification scheme, and the standard(s) and other normative document(s), including their date of publication, to which it is judged that the product(s), process(es) or service(s) comply)
- the term or expiry date of certification, if certification expires after an established period
- any other information required by the certification scheme
- the signature or other defined authorization of the person(s) of the certification body assigned such responsibility.









BYHON

ISO/IFC 17065:2012 Conformity assessment — Requirements for bodies certifying products, processes and services







And many others....

# **CERTIFICATE EXAMPLE 1**



### How to read a SIL certificate



**MEGGITT** 



Meggitt SA

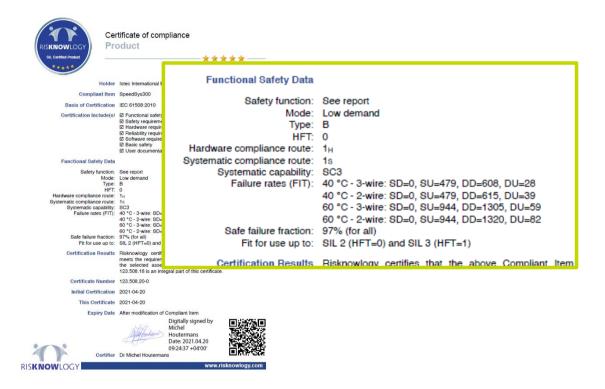
#### 3.3 Safety properties(s)

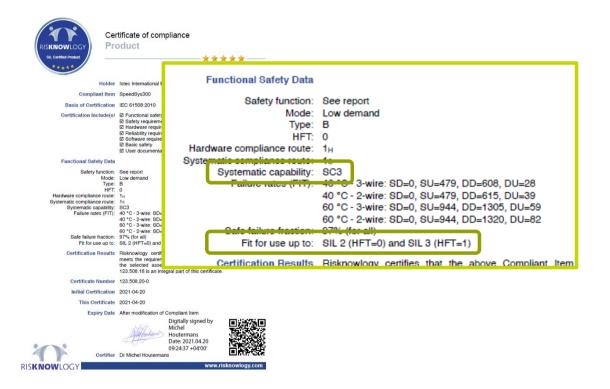
Table 3-1 lists the other important safety properties for an IPC707 measurement chain.

Safety property	Description / Value					
SIL level (IEC 61508)	SIL 2					
Systematic capability (IEC 61508)	2					
	PL c and Cat 1. See also 3.5 ISO 13849-1 performance level.					
Modes of operations	Low Demand mode or Continuous mode					
Type of subsystem	Type A					
Hardware fault tolerance (HFT)	0					
	2261 failure rate (FIT). Note: For dangerous-detected failures ( $\lambda_{DD}$ ), the IPC707 output is defined.					
(λ <sub>Dul</sub> )	70 failure rate (FIT). Note: For dangerous-undetected failures ( $\lambda_{DU}$ ), the IPC707 output is undefined, that is, other current or voltage values.					
safe-undetected failures (A <sub>SD</sub> ) and	0 failure rate (FIT). Note: The IPC707 has neither safe-detected ( $\lambda_{SD}$ ) nor safe-undetected ( $\lambda_{SU}$ ) failures, that is, there is no safe state.					
	97 % (calculated value). SIL 2 requires ≥60% is for a Type A device with HFT = 0.					
Process safety time (PST)	<5 ms in Low Demand mode. <500 ms in Continuous mode. Note: This is the time required for an IPC707 signal conditioner with diagnostics to update the nominal value of the diagnostic component (DC) of the output signal, with the minimum configurable low-pass (LP) filter of 200 Hz.					
Allocation of SIL budget	PFDavg <20 % of the SIL 2 budget for a PTI ≤5 years.  PFH <20 % of the SIL 2 budget at 7.02 × 10 <sup>-8</sup> FIT.  Note: For an IPC707 measurement chain (that is, IPC707-compatible sensor, IPC707-compatible cabling and IPC707 signal conditioner with diagnostics).					
Safety accuracy	Sensitivity: ±10%. High-pass (HP) filter cutoff frequency: -75 to +100% from 1 to 110 Hz. Low-pass (LP) filter cutoff frequency: -40% to +100% from 0.2 to 20 kHz. Noise: ≤1% of full scale deflection (measurement component (AC)).					

Puture rate calculations and analysis were performed with a long-term ambient temperature of 80°C (176°F) For a LPC707 with diagnostics, output values are defined in Table 2-1 and 7.7.1 Defining the alarm levels.

# **CERTIFICATE EXAMPLE 2**





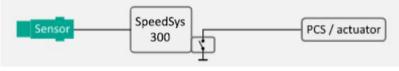
vibro-meter®

SpeedSys300 ODS301

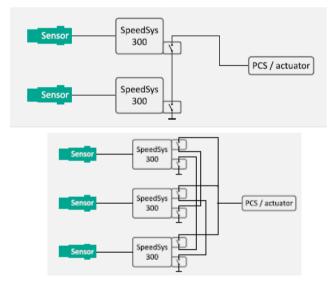
overspeed detection system (ODS)







SIL3, HFT 1



# **CERTIFICATE EXAMPLE 3**

### How to read a SIL certificate



Certificate / Certificat Zertifikat / 合格証

MEG 1806102 C001

exida hereby confirms that the:

VM600 Machinery Protection System

Meggitt SA

Fribourg

Switzerland

Has been assessed per the relevant requirements of:

IEC 61508 : 2010 Parts 1-7

and meets requirements providing a level of integrity to:

Systematic Capability: SC 2 (SIL 2 Capable)

Random Capability: Type B Element

SIL 2 @ HFT=0, Low Demand; Route 2<sub>H</sub>

SIL 2 @ HFT=1, High Demand; Route  $\mathbf{2}_{H}$ 

PFH/PFD<sub>avg</sub> and Architecture Constraints must be verified for each application

#### Safety Function:

The VM600 Machinery Protection System reads measured values from transducer inputs, compares them to configured alarm set points, then generates a trip relay output to put the process into a safe state.

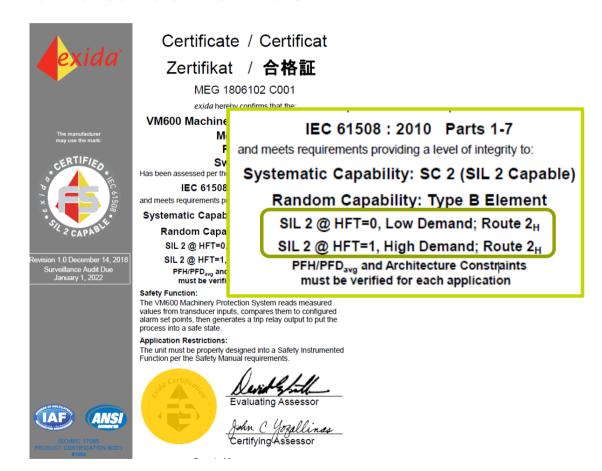
#### Application Restrictions:

The unit must be properly designed into a Safety Instrumented Function per the Safety Manual requirements.



Evaluating Assessor

Certifying Assessor



# MYTHS AND TRUTHS

OPEN DISCUSSION ON COMMON MYTHS AND TRUTHS

**Myths and Truths** 

Common questions, myths and truths about functional safety



True or False	True	?	No	
It is just a paper			Х	It is much more than a paper. It is sound verification of all safety relevant aspects, covering the entire safety lifecycle. Functional Safety certification implies a significant amount of work and commitment.
It just requires more documentation			X	It requires as well specific design and implementation measures, testing, tools, analysis, etc that are dedicated to safety. Documents are just evidences of the activities performed.
A SIL product is always better than an non-SIL one			X	Not necessarily. A SIL product shall be used WHEN it is needed. Due to increased safety measures and techniques, a SIL product may have lower availability and/or higher cost.



True or False	True	?	No	Notes
"Proven in use" is better than "by design" or vice- versa			X	The functional safety standard gives similar attention to both methods. It is the set of safety properties that matters at the end.
Proof testing is just a test to see if my safety system is working well.			X	No. It is a test designed to cover undetected dangerous failures.
SIL x is a property of an element or device			X	No. SIL should be interpreted as the capability to implement safety functions up to SIL x.
It is only a design feature			X	No, it goes beyond the design itself.
Systematic Capability is a limiting factor	X			Yes. All three barriers are limiting factors: Systematic Capability, Probability of Failure and Architectural Constraints

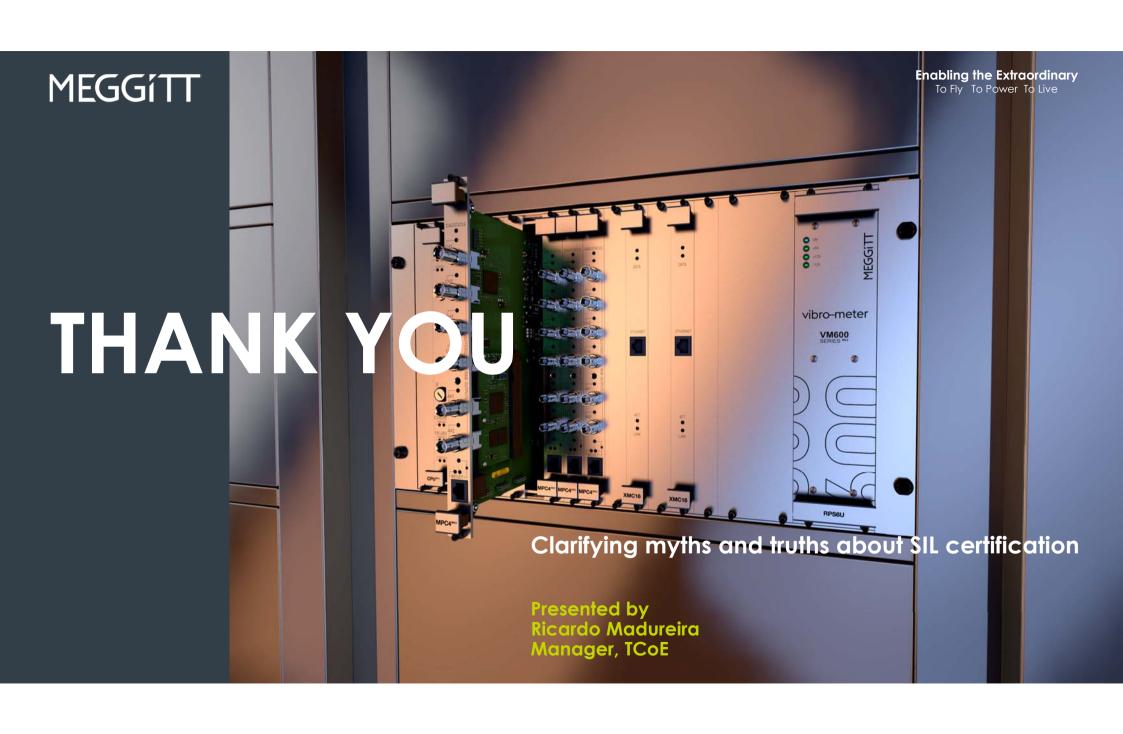
True or False	True	?	No	Notes
A longer proof test interval, means a better safety system		X		Not necessarily. It can also mean that you have a more "complicated" proof test procedure or that you have a high diagnostic coverage, therefore higher Spurious Trip Rate. To understand the quality of a safety system you need to look into all the different safety properties.
Safety and reliability are the same thing			X	No. For example, a system producing many spurious trips is still safe, but not reliable. On the other hand, a system with low diagnostics can be reliable, but less safe.
HFT means redundancy			X	No. For example a 2004 architecture (HFT of 2) has a redundancy of 3.

True or False	True	?	No	Notes
In a safety measurement chain, I can simple replace one element by another similar element from a different model or vendor, as long as it has the same SIL level		X		You must ensure that all safety properties still fit in your SIF or SIS. For example, you must check that your probability of failure (SIL budget) is still OK. SIL is a RANGE of values.
I can increase my SIL via redundancy		X		Not always. First, this is limited by the SC level. SIL level can be as high as the minimum SC level in the chain. The SC level can be increased by 1 if diverse redundant systems are used in a dual channel architecture.





Technical Center of Excellence Webinar



### Disclaimer

Business legal entity, Business address Legal entity registration information as appropriate

Information contained in this document may be subject to export control regulations of the United Kingdom, European Union, United States or other national jurisdictions, including the US International Traffic in Arms Regulations and/or Export Administration Regulations.

Each recipient of this document is responsible for ensuring that transfer or use of any information contained herein complies with all relevant Export Control Regulations.

© Meggitt 2019. All rights reserved.